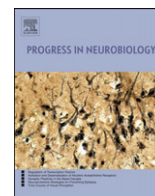




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## The brain and its resting state activity—Experimental and methodological implications

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### ABSTRACT

Despite all the recent progress in neuroscience, we still do not understand the basic principles according to which the brain functions. This may be due, at least in part, to our lack of knowledge how the brain's intrinsic activity, the brain's input, impacts stimulus-induced changes in the brain. We here discuss the neuronal, experimental and methodological relevance of the brain's resting state activity for future studies. Furthermore, we make several suggestions how to best define and include the brain's resting state into our experimental designs. We conclude that experimental consideration of the brain's resting state has major implications for setting up experimental designs and methodological strategies. This may also shed new light on some hitherto unresolved questions like the neuroscientific mechanisms underlying consciousness and psychiatric disorders.

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### 1. Introduction

21

Recent developments in neuroscience, such as brain imaging, allow a hitherto unknown insight into brain function and human behaviour (Northoff, 2010). Mental phenomena like consciousness, self and free will that were formerly attributed to the mind are now associated with the brain (Churchland, 2002; Dennett, 1991; Frith, 2007; Gallagher, 2005; Koch, 2004; Northoff, 2004; Searle, 2004). However, the exact role of the brain, especially with regard to its own intrinsic activity (also often referred to as resting state activity), remains largely unclear. A few studies in humans and other animals have focused on the impact of the brain's intrinsic activity on subsequent stimulus-induced activity and the associ-

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*Abbreviations:* fMRI, functional magnetic resonance imaging; PET, positron emission tomography; DMN, default-mode network; PACC, perigenual anterior cingulate cortex; NBR, negative BOLD responses; BOLD, blood oxygen level dependent; MDD, major depressive disorder.

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ated mental states (Arieli et al., 1996; Boly et al., 2007; Busch et al., 2009; Christoff et al., 2009; Fiser et al., 2004; Fox et al., 2006; Greicius and Menon, 2004; Kenet et al., 2003; Maandag et al., 2007; Muthukumaraswamy et al., 2009; Northhoff et al., 2007; Schneider et al., 2008; Shulman et al., 2009b). This has led Raichle (2009) most recently to speak of what he called “paradigm shift”. This ‘paradigm shift’ refers primarily to how we view the brain, which can be broadly described in two ways. In the first, the brain is viewed as a primarily reflexive organ whose neural activity is completely determined by the incoming stimuli and thus the momentary demands of the environment. In the second, the brain is assumed instead to be an active organ which imposes its intrinsic activity upon the stimuli that are to be processed. While the recent findings clearly demonstrate intrinsic activity in the brain, its exact implications for the brain’s neural processing of stimuli from the outside world and the associated behavioural and mental states remain far from clear. Moreover, how to properly account for the brain’s intrinsic activity in our experimental designs when investigating stimulus-induced activity is presently unknown. If stimulus-induced activity is indeed, at least in part, predetermined by the brain’s intrinsic activity (e.g., resting state activity level) we may need to include the latter as a key variable when investigating the former.

How can we methodologically disentangle the effects of the brain’s intrinsic activity from the stimuli and tasks which are employed by us as scientists and hence as observers? While we observe changes in neuronal activity when employing our stimuli and tasks, as for instance in neuroimaging, we do not know whether the observed activity changes are related to changes in the brain’s intrinsic activity as merely triggered by the task/stimulus or, alternatively, to the causal effects associated with the stimulus itself. We thus need to devise methodological, e.g., experimental and analytical, approaches that allow us to at least partially parse the two inputs—the brain’s intrinsic activity (i.e. brain’s input) and the task or stimuli as employed by the observer (i.e. the observers input). This is important, since if we do not disentangle the two inputs in our experimental designs and subsequent analyses, we may falsely attribute neural activity to our stimuli or tasks rather than to the brain itself.

The question arises whether such a distinction between the brain’s intrinsic activity and stimulus-induced activity is possible at all. This is especially so if intrinsic activity interacts with stimulus-induced activity. Such a mutual entanglement between intrinsic and stimulus-induced activity may make it rather difficult to segregate the two in experimental protocols from a methodological point of view. Even if segregable, one may argue that the resting state may remain irrelevant in our quest to understand the neural underpinnings of specific psychological functions (see, for instance, Buckner and Vincent, 2007; Morcom and Fletcher, 2007; Raichle and Snyder, 2007 for a reply). However, in order to determine the potential irrelevance of the brain’s input as distinguished from the observer’s input, it follows that one needs to demonstrate it experimentally. This is possible by at least attempting to differentiate both the brain’s and the observer’s input in our methodological approaches and experimental designs, aiming towards what could be described as the idealised conceptual separation between the two. Only then will we be able to decide whether the brain’s intrinsic activity is indeed irrelevant or, alternatively, necessary to give rise to mental states.

The aim of this paper is to discuss, methodologically, the relevance of the brain’s intrinsic activity in mental and behavioural states, thereby pointing out the need to develop appropriate experimental tools for disentangling the brain’s intrinsic activity (i.e. the brain’s input) from the tasks or stimuli we as observers employ (i.e. the observer’s input). Such a methodological approach will allow us to at least in part segregate the brain’s input in our

experimental designs from the stimuli and tasks we as observers employ. We will discuss possible experimental strategies in this direction and will also attempt to shed some light on broader methodological issues. We will conclude that the methodological issues pertaining to the recent highlighting of the brain’s intrinsic activity might provide novel and alternative ways of acquiring insight into the neural mechanisms of the brain.

## 2. Operational definition of the brain’s resting state activity

Recent observation of the brain’s high intrinsic resting state activity, apparently independent of any kind of extrinsic stimuli or tasks, may provide some insight into the nature of the brain’s input (see below for further definition of the concept of the brain’s input). There is indeed empirical evidence for intrinsic activity in the brain. Using electrophysiological recordings such as EEG, Llinas (1988) and others (Arieli et al., 1996; Buzsáki, 2006; Buzsáki and Draguhn, 2004) have observed intrinsic brain activity in the gestalt of auto-rhythmic electrical oscillations (or synchronizations) across different brain regions, for instance the thalamic nuclei and cortical regions. Recent fMRI and PET studies have also revealed high resting state and metabolic activity in a particular network of regions, the so-called default-mode network (DMN), that includes predominantly subcortical and cortical midline regions in both humans (Buckner et al., 2008; Fransson, 2005; Northhoff and Bempohl, 2004; Northhoff et al., 2006; Raichle and Gusnard, 2005; Raichle et al., 2001) and non-human animals such as monkeys (Northhoff and Panksepp, 2008; Rilling et al., 2007; Vincent et al., 2007) and rodents (Pawela et al., 2009; Shulman et al., 2009a) (but see Morcom and Fletcher, 2007 for an opposing view). This default-mode network shows strong activity, especially in the resting state with the absence of stimulus-induced activity (Fox et al., 2005; Greicius et al., 2003; Greicius et al., 2009).

While these (and other) data clearly indicate intrinsic activity in the brain, a strict definition of the brain’s resting state may be rather difficult. One of the issues arising here is that the brain is never really at rest, but is continuously active even in the absence of specific stimuli. This implies that even if the observer does not employ specific stimuli, the brain nevertheless encounters a continuous barrage of sensory input through, for instance, the visual or auditory senses. For instance, a recent EEG study demonstrated clear electrophysiological differences between a resting state with eyes closed and one with eyes open (Barry et al., 2007). The authors assume a true resting state activity, which they call the ‘baseline arousal level’ and relate experimentally to an eyes closed condition. This baseline level of arousal must be distinguished from what they call ‘baseline activation level’, investigated with the open eyes condition, which reflects the reception of passive visual input without any active stimulus or task processing. Hence, depending on the sensory context, be it with open or closed eyes, different levels of baseline or resting state activity may be distinguished.

These difficulties have forced researchers to define the brain’s resting state activity in a strictly operational fashion. Using closed eyes is considered to be one valid experimental way to tap into the brain’s resting state activity (see Logothetis et al., 2009; Raichle, 2010). While this might be regarded as experimentally valid and sufficient, it may, however, prove insufficient when considering the input from the remaining senses, such as audition, that cannot be shut down completely. The input from the remaining senses may still impact the brain’s resting state activity, which might make it difficult if not impossible to experimentally isolate the latter completely from the former. Even if we could succeed in shutting down the sensory and thus exteroceptive input completely, we are still confronted with the continuous interoceptive input from the body that is also processed in the brain.

163 Nonetheless, given the potential importance of determining the  
164 resting state's impact on global functioning, it is essential to use  
165 the best methods currently available to approximate the brain's  
166 intrinsic activity in our experimental designs. However, exact  
167 experimental strategies and designs for doing this remain unclear.

168 The problems associated with isolating the brain's resting state  
169 have led some to presuppose a purely operational definition of the  
170 brain's resting state, namely the state of the brain before it is  
171 perturbed by any kind of stimuli from outside of the brain itself  
172 (Buzsaki and Draguhn, 2004; Shulman et al., 2009b). However, as  
173 we have seen, even this seemingly simple operational definition  
174 may be put into doubt by the fact that it only concerns those  
175 stimuli specifically employed by the observer, without accounting  
176 for either the continuous unspecific exteroceptive inputs or the  
177 interoceptive inputs from the body. How can we nevertheless  
178 approach the issue of the brain's resting state activity in our  
179 experimental designs? One indirect way would be to first show the  
180 empirical relevance of the brain's intrinsic activity in the gestalt of  
181 its interacting effects with stimulus-induced activity amounting to  
182 what can be called rest–stimulus and stimulus–rest interaction.  
183 This approach will be the focus of the next sections which will  
184 serve as the basis for subsequent discussion on some potential  
185 experimental strategies and methodological issues for future  
186 studies.

187 Before proceeding to the empirical data, one brief conceptual  
188 remark shall be made. As described, it may be difficult to  
189 empirically and experimentally disentangle the brain's resting  
190 state activity from stimulus-induced activity be it intero- or  
191 exteroceptively induced. This empirical and experimental fuzzi-  
192 ness may contrast with our concepts and descriptions that, at least  
193 on a purely conceptual level, seem to clearly segregate the brain's  
194 input from the observer's input. Hence there may be discrepancy  
195 between the brain itself and the concepts we use to describe it.  
196 While the boundaries between resting state and stimulus-induced  
197 activity seem to be rather fuzzy in both empirical and experimental  
198 regards, their clear-cut conceptual segregation seems to suggest  
199 otherwise. Such an empirical/experimental-conceptual discrepan-  
200 cy should be kept in mind in the following description. More  
201 specifically, we should be aware that our concepts may suggest a  
202 more clear-cut segregation between resting state and stimulus-  
203 induced activity, as well as between the brain's and observer's  
204 input, than actually exists.

### 205 3. Empirical relevance of the brain's input I: rest–stimulus 206 interaction

207 In order for the brain's input to be functionally relevant it must  
208 interact with the neural activity as induced by the stimuli and tasks  
209 employed by the observer; this is so because we are able to access  
210 and experience these stimuli and tasks in a conscious way, and  
211 thus in the gestalt of mental states. Hence, we must search for how  
212 the brain's input (i.e. its intrinsic activity) modulates, predisposes,  
213 and possibly even determines stimulus-induced activity. We must  
214 thus investigate what we call the 'brain–stimulus interaction' or  
215 'rest–stimulus interaction' (see also Northoff et al., 2010).

216 A few studies have indeed demonstrated that the brain's  
217 intrinsic activity impacts subsequent stimulus-induced activity.  
218 Q2 Greicius and Menon (2004) investigated how the default-mode  
219 network (DMN) impacts subsequent stimulus-induced activity in  
220 visual and auditory tasks during passive sensory tasks. They  
221 observed that the level of activity in the DMN during stimulation  
222 predicted the neuronal activity in both visual and auditory cortices  
223 during the auditory and visual tasks. The lower the activity in the  
224 task-negative networks of the DMN during auditory/visual  
225 stimulation, the higher the stimulus-induced neuronal activity  
226 in auditory and visual cortex. This strongly suggests that the level

of resting state in the DMN impacts the stimulus-induced neuronal  
227 activity in other stimulus-related regions. 228

229 In an animal study, experimental manipulation of the brain's  
230 resting state has been reported by Maandag et al. (2007). They  
231 created pharmacologically induced (using halothane and chloral-  
232 ose) high and low resting state activity in rats and subsequently  
233 measured neural activity during forepaw stimulation using fMRI.  
234 High resting state activity was associated with widespread activity  
235 across the cortex and rather weak activity in the sensorimotor  
236 cortex. This activity pattern was reversed in animals with low  
237 resting state activity, where neural activity was stronger in the  
238 sensorimotor cortex and virtually absent in other cortical regions.  
239 These results demonstrate that the level of resting state activity  
240 may modulate the distribution and intensity of stimulus-induced  
241 activity in regions like the sensorimotor cortex and cannot simply  
242 be explained by increased anesthesia-induced inhibition (Shulman  
243 et al., 2009b; van Eijsden et al., 2009). In addition, this resting  
244 state–stimulus interaction may, in part, help to explain variations  
245 in output seen between studies of awake vs. anesthetized animals  
246 under similar experimental conditions (for recent examples see  
247 Chen et al., 2009; Huetz et al., 2009; Kiyatkin and Brown, 2007).  
248 Other groups have also used similar approaches to investigating  
249 the brain's resting state properties in rodents (Biswal and  
250 Kannurpatti, 2009; Zhao et al., 2008), and newer developments  
251 in methodology which allow for a mapping of the resting state in  
252 conscious non-human animals will contribute greatly to future  
253 studies (Zhang et al., 2010).

254 Fox et al. (2006) investigated how intrinsic resting state  
255 neuronal oscillations in a stimulus-related region impacts  
256 subsequent behaviour in humans. They showed that the ongoing  
257 intrinsic neuronal oscillations in the somatomotor cortex, which  
258 persisted during stimulus-induced activity, predicted a high  
259 percentage of the trial-to-trial variability in somatomotor cortical  
260 task-related activity and reaction time in a subsequent button  
261 press task. Spontaneous BOLD fluctuations and task-related  
262 responses in the somatomotor cortex were superimposed onto  
263 one another and appeared to have a near linear relationship.  
264 Hence, the spontaneous BOLD fluctuations seem to determine, or  
265 perhaps predispose, the subsequent behaviour, i.e. the task-  
266 related responses. This clearly indicates the functional and  
267 behavioural significance of intrinsic resting state activity for  
268 stimulus-induced neural activity in the respective stimulus-  
269 related regions (see also Boly et al., 2008; Busch et al., 2009; Fiser  
270 et al., 2004; Fox and Raichle, 2007, for similar approaches in  
271 humans, as well as Kenet et al., 2003, for similar approaches in  
272 animal visual cortex).

273 Finally, even the resting state level of biochemicals like GABA  
274 may impact subsequent stimulus-induced activity. Using com-  
275 bined MRS and fMRI, Northoff et al. (2007) investigated the level of  
276 GABA in a typical DMN region, the perigenual anterior cingulate  
277 cortex (PACC), which shows predominantly negative BOLD  
278 responses (NBR). The resting state level of GABA in the PACC  
279 correlated with the degree of NBR as induced by an emotional  
280 judgment task in the very same region. Higher resting state  
281 concentrations of GABA in the PACC correlated with higher NBR in  
282 the very same region during stimulus-induced activity. This study  
283 demonstrated that the resting state concentration of GABA in the  
284 PACC may indeed impact stimulus-induced activity changes in the  
285 PACC (see Muthukumaraswamy et al., 2009 for analogous results  
286 with regard to the visual cortex).

287 Taken together, these studies indicate the empirical relevance  
288 of the brain's intrinsic activity for stimulus-induced activity in both  
289 animals and humans. The brain's input may consequently be  
290 considered a variable by itself that researchers should attempt to  
291 keep as independent and distinct of other variables as is currently  
292 possible, like the stimulus as the observer's input. This, however,

293 has major implications for both methodological approaches and  
294 experimental designs.

#### 295 **4. Empirical relevance of the brain's input II: stimulus–rest** 296 **interaction**

297 The brain's input may itself be modified by the stimulus-  
298 induced activity. A recent study by Lewis et al. (2009) investigated  
299 the effects of visual perceptual learning on resting state  
300 connectivity. The subjects underwent training of a shape-  
301 identification task constrained to one visual quadrant. After  
302 several days of training, subjects underwent fMRI during a visual  
303 training task. This revealed an effect of training of the respective  
304 side, i.e. quadrant, in the visual cortical activation when compared  
305 to the untrained side. In addition, subjects underwent two sets of  
306 fMRI resting state scans with visual fixation before and after  
307 behavioural training. These comparisons yielded a difference in the  
308 resting state connectivity between the visual cortex, fronto-  
309 parietal regions involved in spatial attention, and regions of the  
310 default-mode network.

311 Another study investigated the effects of motor learning on  
312 resting state activity (Albert et al., 2009). Resting state activity was  
313 investigated in fMRI before and after an 11 min visuomotor  
314 training session. Neural activity in the fronto-parietal resting state  
315 network (i.e. lateral frontal and parietal regions) and the  
316 cerebellum was significantly increased after the visuomotor  
317 training session when compared to before the session. Interest-  
318 ingly, the same network was not recruited during mere motor  
319 performance, thus being specific for motor learning. This suggests  
320 that resting state activity in this network may be closely related to  
321 visuomotor learning rather than mere visuomotor performance.

322 These examples of stimulus–rest interaction (see also Pyka  
323 et al., 2009; Schneider et al., 2008, for further examples) indicate  
324 that the resting state activity level is not fixed but that it is  
325 modulated by the incoming stimuli. This suggests that the brain's  
326 input is flexible rather than static. What does this imply for the  
327 definition of the brain's resting state? It means that the brain's  
328 resting state does not reflect a pure resting state but is always  
329 already integrated with stimulus-induced activity. Hence, a  
330 complete isolation of the brain's resting state activity from  
331 stimulus-induced activity may remain impossible. The brain's  
332 neural activity seems to be rather a *mixtum compositum* of what  
333 we, on a conceptual level, distinguish as the brain's resting state  
334 and stimulus-induced activity. This may have important con-  
335 sequences for our experimental designs raising methodological  
336 issues that should be discussed in more detail.

#### 337 **5. Experimental relevance of the brain's resting state activity**

338 We demonstrated empirical support for mutual interaction  
339 between resting state and stimulus-induced activity, e.g., rest-  
340 stimulus and stimulus–rest interaction. This implies that resting  
341 state and stimulus-induced activity may empirically, i.e. neuron-  
342 ally, not be as clearly segregated as suggested by our concepts.  
343 What does this imply for our experimental designs and methodo-  
344 logical strategies?

345 Considering the results especially from rest–stimulus interac-  
346 tion experiments, we saw that the resting state activity level is a  
347 variable that needs to be accounted for when investigating  
348 stimulus-induced activity. While stimulus-induced activity is  
349 clearly the dependent variable, these results suggest a different  
350 role for the resting state activity in our experimental designs.  
351 Either the resting state activity level is entered as an independent  
352 variable in the experimental design or it is accounted for as  
353 modulatory (or confounding) variable. Both options shall be  
354 discussed in the following.

Few studies, using either humans or animals as subjects, have  
considered the resting state, i.e. the brain's input, as an  
independent variable. The study by Maandag et al. (2007),  
described above, was perhaps the first to have considered the  
brain's input as an independent variable within the animal  
literature. Forepaw stimulation (i.e. the observer's input) was  
subsequently used as an additional independent variable and  
activity from the primary somatosensory cortex was considered as  
the dependent variable (i.e. brain's output). Subsequent studies by  
Kannurpatti et al. (2008), Pawela et al. (2009) and Zhao et al. (2008)  
have continued to investigate the properties of resting state  
activity using related approaches in non-human animals. Studies  
involving humans have been subject to similar issues. One  
approach, which considers the brain's input as an independent  
variable, takes advantage of recent technical and analytical  
advances in combining simultaneous functional brain imaging  
with electroencephalography (Laufs et al., 2008). With this  
approach, the authors suggest, it is possible to measure the brain's  
activity from two angles—with one signal interpreted as the  
independent variable, and the other as the dependent variable.

One hallmark of approaches using the brain's resting state  
activity as an independent variable is the experimental variation.  
Using anaesthetics, Maandag et al. (2007) induced two different  
resting state activity levels whose impact on the dependent  
variable, i.e. the neural activity during motor stimulation, was  
measured. One difficulty in human studies is to develop strategies  
to manipulate the resting state activity level without impairing the  
cognitive and sensory facilities required for subsequent stimulus-  
induced processing. While this may prove to be impossible in an  
absolute way, we may at least approximate it in our experimental  
designs. One approach would be to consider the issue in an  
anatomical sense. One may, for instance, investigate the impact of  
the default-mode network's resting state activity level (e.g. in its  
predominantly medial cortical regions) on cognitive activity  
associated with lateral prefrontal regions (e.g. working memory).  
Manipulation of the DMN resting state activity level may be possible  
in animals by intraregional pharmacological manipulation or  
electrical stimulation, while in humans intra- or postoperative deep  
brain stimulation in midline regions may achieve the same purpose.  
Another instance of quasi-manipulation of the resting state activity  
level is psychiatric disorders like depression, where the resting state  
activity has been shown to be abnormally elevated in anterior  
midline regions (see below for details).

Notwithstanding these suggestions, it will remain rather  
difficult to experimentally manipulate the level of resting state  
activity and to enter it as an independent variable in human  
designs. One alternative is to use the resting state activity level as a  
modulatory rather than an independent variable. This has been  
done recently in humans in the above mentioned fMRI-MRS  
studies where the resting state level of GABA was entered as a  
modulatory variable into the design and analysis of the fMRI data.  
The same could be done regarding resting state functional  
connectivity between, for instance, regions of the DMN that  
may bias and predispose the functional connectivity during  
stimulus-induced activity. An analogous strategy has been pursued  
when combining anatomical and functional resting state connec-  
tivity measures showing that the latter strongly overlap, though  
incompletely, with the former (Greicius et al., 2009). Finally, the  
resting state level of biochemicals like GABA and glutamate in one  
particular region may also be entered as a modulatory variable into  
the analysis of stimulus-induced functional connectivity of that  
region with another one. For instance, a recent study demonstrated  
that the level of resting state glutamate in the perigenual anterior  
cingulate cortex predicts the degree of functional connectivity of  
that region with the supragenual anterior cingulate cortex during  
emotional stimulation (Duncan et al., submitted for publication). Q3

While these suggestions for possible experimental designs concern humans, it should be mentioned that in non-human animal studies inclusion of the resting state activity as a modulatory variable is common practice with some neuroscientific methods. There are many experimental methods in the animal literature that consider the brain's resting state prior to the observer's input, though not typically as an independent variable. Instead, baseline recordings are generally used to normalize otherwise variable data sets. For instance, virtually all experiments employing electrophysiological techniques, or pharmacological techniques investigating in vivo changes in neurochemical concentrations (such as microdialysis or cyclic voltammetry), rely on initial baseline measurements in order to compare subsequent stimulus-induced changes.

What is done in animals is also possible in human imaging studies. One way to account for the resting state activity level prior to the stimulus presentation is to include the baseline condition as a regular condition. Usually the baseline condition, as it is called in imaging, consists of a fixation cross which is considered as an intertrial interval. One could now design and model the baseline condition as a regular condition randomized in between the conditions related to stimulus presentation. That makes it possible to compare those stimulus-related conditions that follow a prior baseline condition to those without preceding baseline conditions. The difference between the two stimulus-related conditions may then be due to the prior resting state activity level and its interaction with the stimulus. While this provides one possible experimental option to account for the impact of the prior resting state activity level, we should be careful though in making clear that what the imagers call baseline does not reflect a proper measure of resting state activity. This is so because the often used fixation cross requires the eyes to be open and an additional effort of fixation which therefore cannot be considered an appropriate measure of resting state activity (Logothetis et al., 2009).

## 6. Methodological relevance of the brain's resting state activity

We demonstrated the empirical and experimental relevance of the brain's resting state activity as the brain's input to its own

neural processing of stimuli. Different ways of how to account for the brain's resting state activity as the brain's input into our experimental designs were suggested. If we need to include the brain's resting state activity as an additional input into our experimental designs as either an independent or modulatory variable, the question arises how this affects the other variables. This concerns the stimuli the observer employs, the observer's input, the measured and observed neural activity, i.e. the brain's output, and the investigated subject's role or input, the subject's input (see Fig. 1).

The above described results of rest–stimulus and stimulus–rest interaction as well as their experimental implications clearly show that the neural activity we observe may not be completely related to and determined by the stimuli we as observers employ. Instead, what we observe as neural activity, the brain's output, may rather reflect a *mixtum compositum* of both the brain's resting state activity level and the stimulus-induced activity. This however means that the effects of the stimuli we employ, the observer's input, cannot be completely traced back to the observer himself. In other words, what we observe and measure as neural activity, i.e. the brain's output, may not be completely and exclusively related to our stimuli, the observer's input, but rather to the interaction between stimuli and the brain's resting state activity. This however means methodologically that the observer's input cannot be regarded as a completely independent variable in our experimental designs. Instead, it may also be conceived, at least in part, as a dependent variable in that its effects are very much dependent upon the resting state activity level (then considered the independent variable).

This approach may have serious implications for our designs. For instance, if one wants to investigate the impact of stimulus-induced activity on the resting state activity level, one may enter the latter as a modulatory or even a dependent variable in the experimental designs. Rather than modelling the events in the design matrix in imaging experiments according to the stimulus-related conditions, one may enter the latter as a main regressor of interest when taking the baseline or resting state periods as main event. One may then compare the regional activity changes from

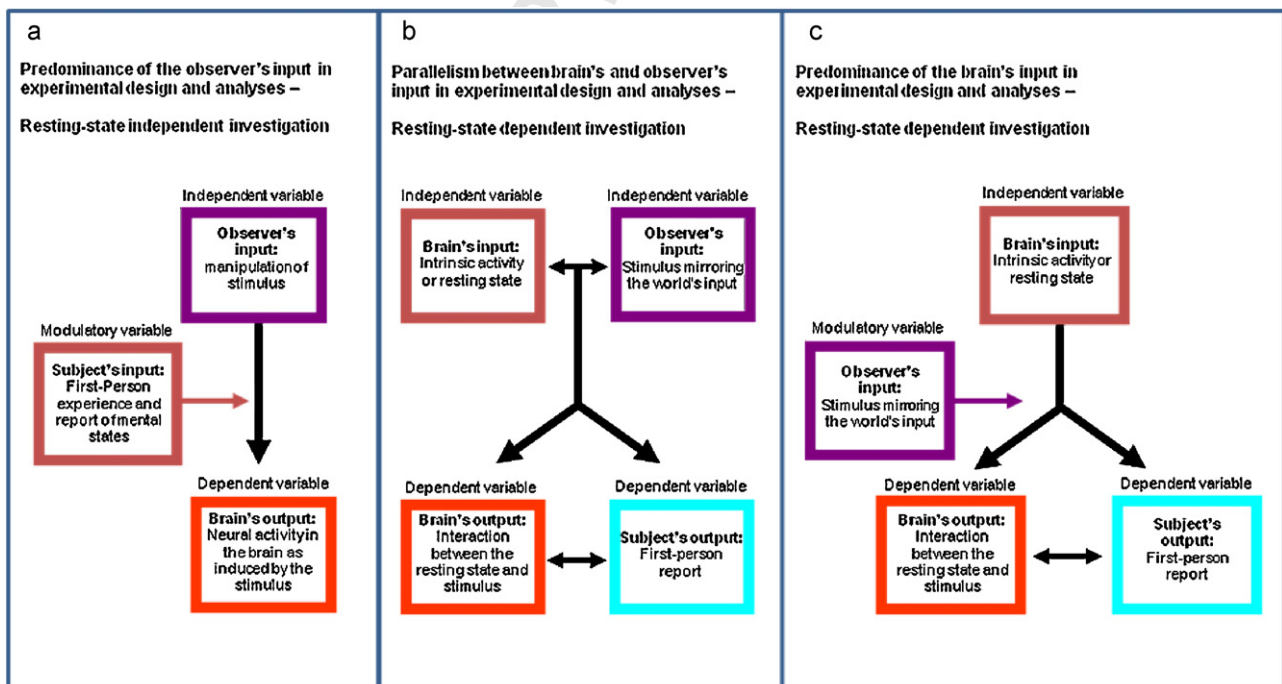


Fig. 1. Methodological approaches to experimental design and analyses in the neurosciences and particularly brain imaging.

the design matrix that included the stimulus-related conditions with those that did not. While this may be one way to circumvent the difficulties of the subtraction method in imaging designs, it may fail in others. This is especially the case when subtracting two stimulus-related conditions, main and control, from each other. Possible differential interaction of the resting state with the main and the control condition are not accounted for here. One way to do that is to include the independently measured resting state activity level for either the whole brain or the respective region of interest as a co-variate into the design matrix. Hence, the inclusion of the brain's resting state activity in the experimental designs may pose some serious challenges to the typical subtraction designs presupposed in imaging. We may thus need to extend the subtraction approach to be more inclusive such that it can take the resting state activity level as either an independent or a modulatory variable into account.

Let us briefly summarize on a more general level. These considerations make it clear that the inclusion of the brain's resting state activity into the experimental designs entails shifts in the relationship between the measured neural activity, the brain's output, and the stimuli employed by the observer, the observer's input. While often neglected in many current designs (see Fig. 1a), the brain's input may be included in the experimental set up as a parallel (Fig. 1b) or non-parallel (see Fig. 1c) independent variable. The inclusion of the brain's input also changes the definition of the brain's output. The brain's output can thus no longer be defined merely as stimulus-induced activity but, instead, must be considered as a rest-stimulus interaction while still occupying the position as a dependent variable. The observer's input, the stimuli the neuroscientist employs, may then either be a second independent variable alongside the brain's input (Fig. 1b) or, even more radically, merely a modulatory variable (Fig. 1c; for instance, see Freeman, 2003, as an advocate of such a solution).

What about the subject's input? The subject's input describes the subjective experience and thus the behavioural or self-reported manifestation of the mental state in question which is taken as the subject's own input into the experimental design. In other words, the subject's input may describe the experimental target variable if one wants to understand the neural mechanisms underlying mental states that can be accessed only in subjective experience. If the subject's input is to be modulated or manipulated by the observer's input, the subject's input may also function as a dependent variable, thereby making a distinction between the subject's input and output necessary. This however changes the whole scenario. The subject's input is then no longer merely a modulatory variable but is instead an independent variable that stands alongside the brain's input as independent variable. While the subject's output may function as a dependent variable alongside the brain's output.

Taken together, it is clear that inclusion of the brain's input as an independent variable may make changes in the methodological approach necessary, although it remains unclear how far these changes would need to go. It is particularly unclear, for instance, whether it would be sufficient to include the brain's input as an additional independent variable alongside the observer's input, or whether we would have to go one step further and treat the observer's input as a modulatory variable. Due to lack of data, we are currently unable to decide which kind of methodological approach would be most appropriate—a decision which, ultimately, may also vary according to the neuronal mechanisms and psychological function in question.

## 7. Implications of brain's resting state activity

We demonstrated empirical evidence for the interaction between resting state and stimulus-induced activity. This was

followed by a discussion of the experimental and broader methodological implications of the brain's resting state activity in our experimental designs. As such, specific strategies for approaching and investigating the brain and its resting state activity were suggested. Besides the purely methodological aspect, one may now raise the question whether all this will help us in getting a better grip on some currently unresolved issues in neuroscience.

One question regards how a stimulus-specific neural response can be elicited on the basis of a stimulus-unspecific response in, for instance, the DMN. As shown in many studies (Raichle et al., 2001; Shulman et al., 1999), the neural activity elicited in the DMN is unspecific to the stimuli with different types of stimuli inducing the same kind and degree of neural activity (as for instance predominant negative BOLD responses in especially anterior midline regions). The question is how such stimulus-unspecific responses in for instance the DMN translates into the rather stimulus-specific responses we observe when employing our specific stimuli. While no stimulus-specific activity may be observed at all in the absence of the resting state activity, the latter is not sufficient to account for the observed neural activity. Hence, it seems that both resting state activity and stimuli are necessary and are needed to interact in a complementary way to generate the kind of stimulus-specific activities we observe as the brain's output. However, neither the functional principles underlying the transition from stimulus-unspecific to stimulus-specific responses, nor those guiding rest-stimulus interaction, are currently known yet.

Another unresolved issue is consciousness. While abundant neural theories of consciousness have been suggested (see Koch, 2004; Tononi and Koch, 2008, for overviews), the exact neural mechanisms giving rise to consciousness remain unclear. Does the brain's resting state have a role in generating consciousness? A recent suggestion assumes the low-frequency oscillations in the range between 0.01 and 1 Hz to be crucial (He and Raichle, 2009) which however may not be sufficient to account for the conscious contents (Koch, 2009). If however the low-frequency oscillations cannot account for conscious contents, they cannot be considered sufficient conditions and thus neural correlates of consciousness (NCC). They may be relevant, for instance, in that they may be necessary but not sufficient neural conditions of consciousness (see Shulman et al., 2009b for a step in this direction). This means that without a proper resting state activity, consciousness cannot be generated at all while its mere presence is not yet sufficient by itself to generate conscious states. Rather than of neural correlates as sufficient conditions of consciousness, one may then better speak of what we call 'neural predispositions' that describe enabling or necessary but non-sufficient conditions. If so, the brain's resting state activity level, the brain's input, may be a neural predisposition rather than a neural correlate that enables and predisposes us to develop consciousness.

Another issue hitherto unresolved in neuroscience is the exact pathophysiological mechanisms underlying psychiatric disorders like depression and schizophrenia. The behavioural relevance of the brain's resting state activity and its impact on subsequent stimulus-induced activity and behaviour is further underlined by consideration of pathological conditions. Altered resting state activity and connectivity have been implicated in a range of common neuropsychiatric conditions including major depressive disorder (Grimm et al., 2009), schizophrenia (Zhou et al., 2007; Garrity et al., 2007; Kim et al., 2009; Whitfield-Gabrieli et al., 2009), Alzheimer's disease (Liu et al., 2008), and autism (Kennedy and Courchesne, 2008). Considering major depressive disorder (MDD), resting state activity in specifically the sub/pregenual anterior cingulate cortex has been characterized by various changes including abnormal functional connectivity to the

thalamus (Greicius et al., 2007), abnormal modulation by glutamate rather than GABA (Alcaro et al., 2009; Walter et al., 2009) and decreased activity during external stimulation (Alcaro et al., 2009; Grimm et al., 2009; Sheline et al., 2009), which have all been shown to be related to depressed symptoms. These resting state abnormalities in MDD, along with those in other neuropsychiatric disorders, underline the crucial relevance of the brain's resting state activity, the brain's input, for our behavioural and mental states. While at the same time, this may give us a new understanding about the often rather bizarre looking mental states in these patients.

## 8. Conclusion: do we need to adapt our experimental strategies to the brain?

Despite attempts to dig deeper into the brain itself and decipher its input, we are still left with the question of the principles that guide the brain's function. The current data clearly suggest a central role for the brain's intrinsic activity, e.g., its resting state activity. While conceptually the brain's resting state activity might be clearly segregated from stimulus-induced activity, this looks different when it comes to the brain itself and its way of neuronal processing. As presented here data show interaction between resting state and stimulus-induced activity amounting to rest-stimulus and stimulus-rest interaction. This means that what we observe and measure as neural activity, the brain's output, may be a hybrid of both resting state and stimulus-induced activity. While this makes it impossible to clearly define and segregate both resting state and stimulus-induced activity as distinct variables in our experimental designs, these data show the need to at least approximately account for the brain's resting state activity. We need to design our experiments in relation to the brain's intrinsic activity and its impact on stimulus-induced changes in neural activity including the modifications the latter seems to induce in the former. This will require novel methodological strategies, some of which are discussed here.

Remaining unclear for now, the development of novel methodological strategies may also make possible the investigation of some unresolved issues in neuroscience related to consciousness and psychiatric disorders in a new and original way. The brain's resting state activity may prove a promising arena which will likely have widespread implications for all neuroscience-related fields. In order to take advantage of this, we need to prepare ourselves well by adapting our experimental designs and strategies to the reality of our own brain as suggested by our recent data.

## Conflict of interest

The authors have no conflicts of interest to declare.

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